

Substitute for Form PTO-1449 <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Application Number	09/981,433
				Filing Date	October 17, 2001
				First Named Inventor	Farris et al.
				Art Unit	2131
				Examiner Name	Not Yet Assigned
Sheet 1 of 19				Attorney Docket	72291

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup>			
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HS		US-3,716,865	02-13-1973	Willmott	
HS		US-3,735,106	05-22-1973	Hollaway	
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HS		Re 29,525	01-24-1978	Willmott	

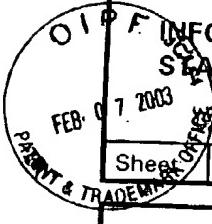
FOREIGN PATENT DOCUMENTS					
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HS		DE 32 34 538 A1	03-22-1984	Preissinger et al.	T <sup>6</sup>
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HS		DE 36 36 822 C1	10-15-1987	Keller	

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#### OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

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HS		Abrams and Podell, <i>Tutorial Computer and Network Security</i> , District of Columbia: IEEE, (1987).	
HS		Abramson, Norman, <i>The Aloha System - Another Alternative for Computer Communications</i> , pp. 281-285, (University of Hawaii, 1970).	
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Application Number	09/981,433
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HS		US-4,078,152	03-07-1978	Tuckerman, III	
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HS		US-4,328,540	05-04-1982	Matsuoka et al.	

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HS		EP 0 043 270 A1	01-06-1982	Jones et al.		
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HS		EP 0 459 781 B1	12-04-1991	Bruwer		
HS		FR 2 606 232	05-06-1988	Patrick		

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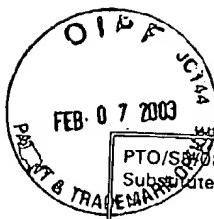
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### OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

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HS		US-4,380,762	04-19-1983	Capasso	
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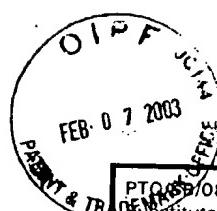
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HS		FR 2 607 544	07-20-1988	Patrick		
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HS		GB 2 184 774	07-01-1987	King		

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HS		Davies, D. W. and Price, W. C. <i>Security for Computer Networks</i> , (John Wiley and Sons, 1984).	
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HS		US-4,628,315	12-09-1986	Douglas	

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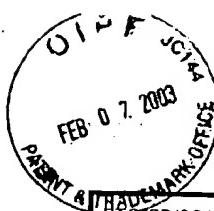


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Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup>			
HS		US-4,630,035	12-16-1986	Stahl et al.	
HS		US-4,633,247	12-30-1986	Hegeler	
HS		US-4,638,433	01-20-1987	Schindler	
HS		US-4,646,080	02-24-1987	Genest et al	
HS		US-4,652,860	03-24-1987	Weishaupt et al.	
HS		US-4,670,746	06-02-1987	Taniguchi et al.	
HS		US-4,686,529	08-11-1987	Kleefeldt	
HS		US-4,695,839	09-22-1987	Barbu et al.	
HS		US-4,703,359	10-27-1987	Rumbolt et al.	
HS		US-4,710,613	12-01-1987	Shigenaga	
HS		US-4,716,301	12-29-1987	Willmott et al.	
HS		US-4,720,860	01-19-1988	Weiss	
HS		US-4,723,121	02-02-1988	van den Boom et al.	
HS		US-4,731,575	03-15-1988	Sloan	

## **FOREIGN PATENT DOCUMENTS**

Examiner Signature	Hesuk SONG	Date Considered	11/3/04
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.  
<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



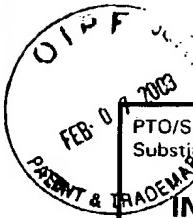
SB/08A Substitute for Form PTO-1449				Application Number	09/681,433
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Filing Date	October 17, 2001
				First Named Inventor	Farris et al.
				Art Unit	2131
				Examiner Name	Not Yet Assigned
Sheet	10	of	19	Attorney Docket	72291

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			
HS		ElGamal, Taher. <i>A Subexponential Time Algorithm for Computing Discrete Logarithms</i> , pp. 473-481, IEEE, Transactions on Information Theory, Vol. IT-31, No. 4, (July 1985)			T <sup>2</sup>
HS		Feistel, Horst. <i>Cryptography and Computer Privacy</i> , pp. 15-23, Scientific American, Vol. 228, No. 5, (May 1973)			
HS		Feistel, Horst, Notz, Wm. A. and Smith, J. Lynn. <i>Some Cryptographic Techniques for Machine-to-Machine Data Communications</i> , pp. 1545-1554, Proceedings of the IEEE, Vol. 63, No. 11, (November 1975)			
HS		Fenzl, H. and Kliner, A. <i>Electronic Lock System: Convenient and Safe</i> , pp. 150-153, Siemens Components XXI, No. 4, (1987)			
HS		Fischer, Elliot. <i>Uncaging the Hagelin Cryptograph</i> , pp. 89-92, Cryptologia, Vol. 7, No. 1, (January 1983)			
HS		Fragano, Maurizio. <i>Solid State Key/Lock Security System</i> , pp. 604-607, IEEE Transactions on Consumer Electronics, Vol. CE-30, No. 4, (November 1984)			
HS		Godlewski, Ph. and Camion, P. <i>Manipulations and Errors, Detection and Localization</i> , pp. 97-106, Proceedings of Eurocrypt 88, (1988)			
HS		Greenlee, B. M., <i>Requirements for Key Management Protocols in the Wholesale Financial Services Industry</i> , pp. 22-28, IEEE Communications Magazine, (September 1985)			
HS		Guillou, Louis C. <i>Smart Cards and Conditional Access</i> , pp. 481-489, Proceedings of Eurocrypt, (1984)			

Examiner Signature	HOSUK SONG	Date Considered	11/3/04
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PTO/SB/08A Substitute for Form PTO-1449				Application Number	09/681,433
<b>TRADEMADE</b>				Filing Date	October 17, 2001
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				First Named Inventor	Farris et al.
(use as many sheets as necessary)				Art Unit	2131
				Examiner Name	Not Yet Assigned
Sheet	11	of	19	Attorney Docket	72291

## **U.S. PATENT DOCUMENTS**

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HS		US-4,737,770	04-12-1988	Brunius et al.	
HS		US-4,740,792	04-26-1988	Sagey et al.	
HS		US-4,750,118	06-07-1988	Heitschel et al.	
HS		US-4,754,225	06-28-1988	Sanders et al.	
HS		US-4,755,255	07-05-1988	Pezzolo et al.	
HS		US-4,758,835	07-19-1988	Rathmann et al.	
HS		US-4,761,808	08-02-1988	Howard	
HS		US-4,779,090	10-18-1988	Micznik et al.	
HS		US-4,794,268	12-27-1988	Nakano et al.	
HS		US-4,794,622	12-27-1988	Isaacman et al.	
HS		US-4,796,181	01-03-1989	Wiedemer	
HS		US-4,799,061	01-17-1989	Abraham et al.	
HS		US-4,800,590	01-24-1989	Vaughn	
HS		US-4,802,114	01-31-1989	Sogame	

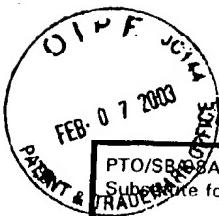
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<sup>3</sup> Enter Office having jurisdiction over the application.

<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



PTO/SB/08A  
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 12 of 19

Application Number	09/681,433
Filing Date	October 17, 2001
First Named Inventor	Farris et al.
Art Unit	2131
Examiner Name	Not Yet Assigned
Attorney Docket	72291

### OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

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HS		Guillou, Louis C. and Quisquater, Jean-Jacques. A Practical Zero-Knowledge Protocol Fitted to Security Microprocessor Minimizing Both Transmission and Memory, pp. 123-128, Advances in Cryptology - Eurocrypt 88, (1988)	
HS		Habermann, A. Nico. Synchronization of Communicating Processes, pp. 171-176, Communications, (March 1972)	
HS		Hagelin C-35/C-36, The, p. 1, (Undated). <a href="http://hem.passagen.se/tan01/C-35.HTML">http://hem.passagen.se/tan01/C-35.HTML</a>	
HS		ISO 8732: 1988 (E): Banking Key Management (Wholesale) Annex D: Windows and Windows Management, (November 1988)	
HS		Jones, Anita K. Protection Mechanisms and The Enforcement of Security Policies, pp. 228-251, Carnegie-Mellon University, Pittsburgh, PA, (1978)	
HS		Jueneman, R. R., et al. Message Authentication, pp. 29-40, IEEE Communications Magazine, Vol. 23, No. 9, (September 1985)	
HS		Kahn, Robert E. The Organization of Computer Resources Into A Packet Radio Network, pp. 177-186, National Computer Conference, (1975)	
HS		Keeloq® Code Hopping Decoder, HCS500, pp. 1-25, 1997 Microchip Technology, Inc.	
HS		Keeloq® Code Hopping Encoder, HCS300, pp. 1-20, 1996 Microchip Technology, Inc.	

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PTO-8B/08A  
Institute for Form PTO-1449

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 13 of 19

Application Number	09/981,433
Filing Date	October 17, 2001
First Named Inventor	Farris et al.
Art Unit	2131
Examiner Name	Not Yet Assigned
Attorney Docket	72291

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HS		Keeloq® NTQ 105 Code Hopping Encoder, pp. 1-8, Nanoteq (Pty.) Ltd., (July 1993).	
HS		Keeloq® NTQ 115 Code Hopping Decoder, pp. 1-8, Nanoteq (Pty.) Ltd., (July 1993).	
HS		Keeloq® NTQ 125D Code Hopping Decoder, pp. 1-9, Nanoteq (pty.) Ltd., (July 1993).	
HS		Kent, Stephen T. A Comparison of Some Aspects of Public-Key and Conventional Cryptosystems, pp. 4.3.1-5, ICC '79 Int. Conf. on Communications, Boston, MA, (June 1979).	
HS		Kent, Stephen T. Comments on 'Security Problems in the TCP/IP Protocol Suite', pp.10-19, Computer Communication Review, Vol. 19, Part 3, (July 1989).	
HS		Kent, Stephen T. Encryption-Based Protection Protocols for Interactive User-Computer Communication, pp. 1-121, (May 1976). (See pp. 50-53).	
HS		Kent, Stephen T. Protocol Design Consideration for Network Security, pp. 239-259, Proc. NATO Advanced Study Institute on Interlinking of Computer Networks, (1979).	
HS		Kent, Stephen T., Protocol Design Considerations for Network Security, pp. 239-259, Proc. NATO Advanced Study Institute on Interlinking of Computer Networks, (1979).	

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### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 14 of 19

Application Number	09/981,433
Filing Date	October 17, 2001
First Named Inventor	Farris et al.
Art Unit	2131
Examiner Name	Not Yet Assigned
Attorney Docket	72291

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HS		Kent, Stephen T., et al. Personal Authorization System for Access Control to the Defense Data Network, pp. 89-93, Conf. Record of Eascon 82 15 <sup>th</sup> Ann Electronics & Aerospace Systems Conf., Washington, D.C. (September 1982)	
HS		Kent, Stephen T. Security Requirements and Protocols for a Broadcast Scenario, pp. 778-86, IEEE Transactions on Communications, Vol. com-29, No. 6, (June 1981).	
HS		Konheim, A.G. <i>Cryptography: A Primer</i> , pp 285-347, New York, (John Wiley, 1981)	
HS		Kruh, Louis. <i>Device and Machines: The Hagelin Cryptographer</i> , Type C-52, pp. 78-82, Cryptologia, Vol. 3, No. 2, (April 1979).	
HS		Kruh, Louis. <i>How to Use the German Enigma Cipher Machine: A photographic Essay</i> , pp. 291-296, Cryptologia, Vol. No. 7, No. 4 (October 1983).	
HS		Kuhn, G.J. <i>Algorithms for Self-Synchronizing Ciphers</i> , pp. 159-164, Comsig 88, University of Pretoria, Pretoria, (1988).	
HS		Kuhn, G.J., et al. <i>A Versatile High-Speed Encryption Chip</i> , INFOSEC '90 SYMPOSIUM, Pretoria, (March 16, 1990).	
HS		Lamport, Leslie. <i>The Synchronization of Independent Processes</i> , pp. 15-34, Acta Informatica, Vol. 7, (1976).	

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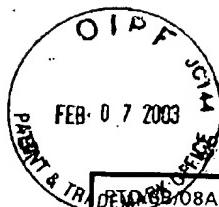
1449-08A Substitute for Form PTO-1449				Application Number	09/981,433
				Filing Date	October 17, 2001
				First Named Inventor	Farris et al.
				Art Unit	2131
				Examiner Name	Not Yet Assigned
Sheet	15	of	19	Attorney Docket	72291

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HS		Linn, John and Kent, Stephen T. <i>Electronic Mail Privacy Enhancement</i> , pp. 40-43, American Institute of Aeronautics and Astronautics, Inc. (1986).			
HS		Lloyd, Sheelagh. <i>Counting Functions Satisfying a Higher Order Strict Avalanche Criterion</i> , pp. 63-74, (1990).			
HS		Marneweck, Kobus. <i>Guidelines for KeeLoq® Secure Learning Implementation</i> , TB007, pp. 1-5, 1987 Microchip Technology, Inc.			
HS		Massey, James L. <i>The Difficulty with Difficulty</i> , pp. 1-4, (Updated). <a href="http://www.iacr.org/conferences/ec96/massey/html/framemassey.html">http://www.iacr.org/conferences/ec96/massey/html/framemassey.html</a> .			
HS		McIvor, Robert. <i>Smart Cards</i> , pp. 152-159, Scientific American, Vol. 253, No. 5, (November 1985)			
HS		Meier, Willi. <i>Fast Correlations Attacks on Stream Ciphers</i> (Extended Abstract), pp. 301-314, Eurocrypt 88, IEEE, (1988).			
HS		Meyer, Carl H. and Matyas Stephen H. <i>Cryptography: A New Dimension in Computer Data Security</i> , pp. 237-249 (1982).			
HS		Michener, J.R. <i>The 'Generalized Rotor' Cryptographic Operator and Some of Its Applications</i> , pp. 97-113, Cryptologia, vol. 9, No. 2, (April 1985)			
HS		Morris, Robert. <i>The Hagelin Cipher Machine (M-209): Reconstruction of the Internal Settings</i> , pp.267-289, Cryptologia, 2(3), (July 1978).			

Examiner Signature	Hesuk SONG	Date Considered	11/3/04
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DEMA 08A

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet

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Application Number	09/981,433
Filing Date	October 17, 2001
First Named Inventor	Farris
Art Unit	2131
Examiner Name	Not Yet Assigned
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**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

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HS		Newman, David B., Jr., et al. "Public Key Management for Network Security", pp. 11-16, IEE Network Magazine, 1987.	
HS		News: Key System for Security, p.38 (April 1982).	
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HS		NM95HS01/NM95HS02 HiSeC™ (High Security Code) Generator, pp. 1-19, National Semiconductor, (January 1995).	
HS		Otway, Dave and Rees, Owen. <i>Efficient and Timely Mutual Authentication</i> , pp. 8-11 (Undated).	
HS		Peyret, Patrice, et al. <i>Smart Cards Provide Very High Security and Flexibility in Subscribers Management</i> , pp. 744-752, IEE Transactions on Consumer Electronics, 36(3), (August 1990).	
HS		Postel, Jonathon B., et al. <i>The ARPA Internet Protocol</i> , pp. 261-271, (1981).	
HS		Reed, David P. and Kanodia, Rajendra K. <i>Synchronization with Eventcounts and Sequencers</i> , pp. 115-123, Communications of the ACM, vol. 22, No. 2, (February 1979).	
HS		Reynolds, J. and Postel, J. <i>Official ARPA-Internet Protocols</i> , Network Working Groups, (April 1985)	

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PTO/SB/108A  
Information for Form PTO-1449

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

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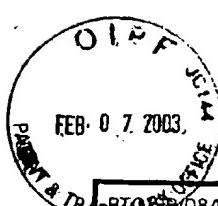
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HS		Ruffell, J. <i>Battery Low Indicator</i> , p. 15-165, Eleckton Electronics, (March 1989. (See p. 59).
HS		Saab Anti-Theft System: Saab's Engine Immobilizing Anti-Theft System is a Road-Block for 'Code-Grabbing' Thieves, pp. 1-2, (Undated).
HS		Savage, J.E. <i>Some Simple Self-Synchronizing Digital Data Scramblers</i> , pp. 449-498, The Bell System Tech. Journal, (February 1967)
HS		Seberry, J. and Pieprzyk, <i>Cryptography - An Introduction to Computer Security</i> , (Prentice Hall of Australia, YTY LTD, 1989)
HS		Secure Terminal Interface Module for Smart Card Applications, pp. 1488-1489, IBM: Technical Disclosure Bulletin, Vol. 28, No. 4, (September 1985).
HS		Shamir, Adi. <i>Embedding cryptographic Trapdoors In Arbitrary Knapsak Systems</i> , pp. 81-85, IEEE Transactions on Computers, Vol C-34, No. 1, (January 1985).
HS		Siegenthaler, T. <i>Decrypting a Class of Stream Ciphers Using Ciphertext Only</i> , pp. 81-85, IEEE Transactions on Computers, Vol. C-34, No. 1, (January 1985).
HS		Simmons, Gustavus, J. <i>Message Authentication with Arbitration of Transmitter/Receiver Disputes</i> , pp. 151-165 (1987).
HS		Smith, J.L. <i>The Design of Lucifer: a Cryptographic Device for Data Communications</i> , pp. 1-65, (April 15, 1971).

Examiner Signature	Heguk SONG	Date Considered	11/3/04
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DEPT OF COMMERCE U.S. PATENT & TRADEMARK OFFICE Substitute for Form PTO-1449				Application Number	09/981,433
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>				Filing Date	October 17, 2001
Sheet	18	of	19	First Named Inventor	Farris
				Art Unit	2131
				Examiner Name	Not Yet Assigned
				Attorney Docket	72291

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HS		<i>Smith, J.L., et al. An Experimental Application of Cryptography to a Remotely Accessed Data System, pp. 282-297, Proceedings of the ACM, (August 1972).</i>			
HS		<i>Svigals, J. Limiting Access to Data in an Identification Card Having A Micro-Processor, pp. 580-581, IBM: Technical Disclosure Bulletin, Vol. 27, No. 1B, (June 1984).</i>			
HS		<i>Transaction Completion Code Based on Digital Signatures, pp. 1109-1122, IBM: Technical Disclosure Bulletin, vol. 28, No. 3, (August 1985)</i>			
HS		<i>Turn, Rein. Privacy Transformations for Databank Systems, pp. 589-601, National Computer Conference, (1973).</i>			
HS		<i>Voydock, Victor L. and Kent, Stephen T. Security in High-Level Network Protocols, pp. 12-25, IEEE Communications Magazine, Vol. 23, No. 7, (July 1985).</i>			
HS		<i>Voydock, Victor L. and Kent, Stephen T. Security Mechanisms in a Transport Layer Protocol, pp. 325-341, Computers &amp; Security, (1985).</i>			
HS		<i>Voydock, Victor L. and Kent, Stephen T. Security Mechanisms in High-Level Network Protocols, pp. 135-171, Computing Surveys, vol. 15, No. 2 (June 1983).</i>			
HS		<i>Watts, Charles and Harper John. How to Design a HiSec™ Transmitter, pp. 1-4, National Semiconductor, (October 1994).</i>			
HS		<i>Weinstein, S.B. Smart Credit Cards: The Answer to Cashless Shopping, pp. 43-49, IEEE Spectrum, (February 1984).</i>			

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet

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Application Number	09/981,433
Filing Date	October 17, 2001
First Named Inventor	Farris
Art Unit	2131
Examiner Name	Not Yet Assigned
Attorney Docket	72291

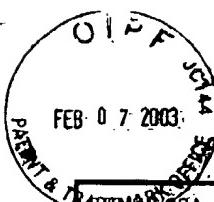
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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
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HS		Welsh, Dominic, <i>Codes and Cryptography</i> , pp. 7.0-7.1, (Clarendon Press, 1988).	

Examiner Signature	Hsuk SONG	Date Considered	11/3/04
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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

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of

19

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